

How to Search for Insurance Carrier Filings Using SERFF



SERFF

System for
Electronic Rates &
Forms Filing

Created by the National Association of
Insurance Commissioners (NAIC)

Permits anyone to download insurance
carrier form, rate & rules filings

Address

To use SERFF, go to
<https://filingaccess.serff.com/sfa/home/XX>,
where “XX” is the 2-letter abbreviation for
the state in which you’re searching

To illustrate, I’ll go to
<https://filingaccess.serff.com/sfa/home/NJ>
to search for New Jersey filings

Backspace over the last two characters in
the URL to substitute another state

Start Screen

Click the Begin Search button to start

← → ↻ 🏠 filingaccess.serff.com/sfa/home/NJ

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NAIC National Association of Insurance Commissioners

SERFF
a member of NAIC
Providing flexibility, promoting uniformity

SERFF Filing Access

You are currently operating in the state of New Jersey.

Begin Search

Welcome to SERFF Filing Access!

We are pleased to provide you an alternative method for viewing Rate and Form Filings electronically. If you wish, you can also submit a formal data request to the Department in accordance with the Open Public Records Act at <http://www.state.nj.us/dobi/oprrecords.htm>.

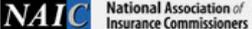
Need Help?

If you need assistance finding a Filing or public access questions, please contact Christina Holden at (609) 292-5360 ext. 50409 and we will provide you with the assistance you need. We are available Monday through Friday from 8:00 AM to 5:00 PM EST.

If you are experiencing technical difficulties with the SERFF system or problems accessing the SERFF alternative remote access website, please direct your questions to the SERFF Help Desk at 816-783-8990 or email sfahelp@naic.org. The Department of Insurance cannot help with computer systems issues or technical issues related to SERFF. The SERFF Help Desk is staffed Monday through Friday from 8:00am to 5:00pm CST. If a Customer Support Analyst is not available, please leave a message with the SERFF Help Desk. Your call or email will be returned the next business day by SERFF staff.

Click past the legal stuff ...

Click Accept



SERFF Filing Access
You are currently operating in the state of New Jersey.

[Help](#)

The SERFF Filing Access Interface ("Interface") is the property of the NAIC. This system is intended to facilitate an alternative means of access to rate and form filings and health plan binders as made available by the State Insurance Department. The State has chosen to make this Interface available as an option for members of the public to use when obtaining publically available rate and form filings and health plan binders. These rate and form filings and health plan binders are also available directly from the State.

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Accept

Decline

Select the broad type of insurance

Clear Search

Health Plan Binder Search

Filing Search

Search Options

Business Type: -- Select --

Product Coding Matrix: -- Select --

Type of Insurance: Property & Casualty
Life, Accident/Health, Annuity, Credit

Company Name: _____

Begins With Contains

NAIC Company Code: _____

Insurance Product Name: _____

Begins With Contains

SERFF Tracking Number: _____

Start Submission Date: _____

End Submission Date: _____

Start Disposition Date: _____

End Disposition Date: _____

Click the down arrow next to Type of Insurance

Clear Search Health Plan Binder Search

Filing Search

Search Options

Business Type: Property & Casualty

Product Coding Matrix: http://serff.com/serff_participation_map.htm

Type of Insurance: Type of Insurance 0 Types of Insurance selected

Company Name:

Begins With Contains

NAIC Company Code:

Insurance Product Name:

Begins With Contains

SERFF Tracking Number:

Start Submission Date:

End Submission Date:

Start Disposition Date:

End Disposition Date:

Select 1 or more types of insurance from the menu ...

Filing Search

Search Options

Business Type: Property & Casualty

Product Coding Matrix: https://serff.com/serff_participation_map.htm

Type of Insurance: Type of Insurance *0 Types of Insurance selected*

Company Name:

NAIC Company Code:

Insurance Product Name:

SERFF Tracking Number:

Start Submission Date:

End Submission Date:

End Disposition Date:

- 01.0 Property
- 02.3 Flood
- 03.0 Personal Farmowners
- 04.0 Homeowners
- 05.0 CMP Liability and Non-Liability
- 05.1 CMP Non-Liability Portion Only

Search

Select 1 or more types of insurance from the menu ...

A screenshot of a software interface showing a list of insurance options. The window has a dark purple header with a search bar and a 'Close' button. The list contains the following items:

- 05.2 CMP Liability Portion Only
- 06.0 Mortgage Guaranty
- 09.0 Inland Marine
- 11.0 Med Mal-Claims Made and Occurrence
- 11.1 Med Mal-Claims Made Only
- 11.1 Med Mal-Occurrence Only

A screenshot of a software interface showing a list of insurance options. The window has a dark purple header with a search bar and a 'Close' button. The list contains the following items:

- 11.2 Med Mal-Claims Made Only
- 11.2 Med Mal-Occurrence Only
- 12.0 Earthquake
- 16.0 Workers Compensation
- 17.0 Other Liability-Occ/Claims Made
- 17.1 Other Liability-Claims Made Only

Select 1 or more types of insurance from the menu ...

Close

- 17.1 Other Liability-Occ Only
- 17.2 Other Liability-Claims Made Only
- 17.2 Other Liability-Occ Only
- 19.0 Personal Auto
- 20.0 Commercial Auto
- 21.1 Mechanical Breakdown Contracts

Close

- 21.1 Mechanical Breakdown Contracts
- 26.0 Burglary and Theft
- 27.0 Boiler & Machinery or Equipment Breakdown
- 28.2 Credit - Personal Property
- 30.0 Homeowner/ Auto Combinations
- 30.1 Dwelling Fire/Personal Liability

Select 1 or more types of insurance from the menu ...



A screenshot of a software interface showing a list of insurance types. At the top is a search bar with a magnifying glass icon and a 'Close' button. Below the search bar is a list of six items, each with a checkbox on the left:

- 30.1 Dwelling Fire/Personal Liability**
- 33.0 Other Lines of Business**
- 34.0 Title**
- 35.0 Interline Filings**
- Property/Casualty-Commercial Lines**
- Property/Casualty-Personal Lines**

A vertical scrollbar is visible on the right side of the list.

I've selected "Other Liability-Occurrence/Claims Made"

Click "Close" when you've finished selecting

Filing Search

Search Options

Business Type: Property & Casualty

Product Coding Matrix: https://serff.com/serff_participation_map.htm

Type of Insurance: Type of Insurance 1 Type of Insurance selected

Company Name:

17.0 Other Liability-Occ/Claims Made

17.1 Other Liability-Claims Made Only

17.1 Other Liability-Occ Only

17.2 Other Liability-Claims Made Only

17.2 Other Liability-Occ Only

19.0 Personal Auto

NAIC Company Code:

Insurance Product Name:

SERFF Tracking Number:

Start Submission Date:

End Submission Date:

End Disposition Date:

The screen now shows 1 type of insurance selected

Filing Search

Search Options

Business Type: Property & Casualty

Product Coding Matrix: https://serff.com/serff_participation_map.htm

Type of Insurance: Type of Insurance *1 Type of Insurance selected*

Company Name: _____

Begins With Contains

NAIC Company Code: _____

Insurance Product Name: _____

Begins With Contains

SERFF Tracking Number: _____

Start Submission Date: _____

End Submission Date: _____

Start Disposition Date: _____

End Disposition Date: _____

Enter the name of the company or organization

Filing Search

Search Options

Business Type: Property & Casualty

Product Coding Matrix: https://serff.com/serff_participation_map.htm

Type of Insurance: Type of Insurance *1 Type of Insurance selected*

Company Name: insurance services office

Begins With Contains

NAIC Company Code:

Insurance Product Name:

Begins With Contains

SERFF Tracking Number:

Start Submission Date:

End Submission Date:

Start Disposition Date:

End Disposition Date:

At your option, add start & end dates

When you're ready,
click Search

Filing Search

Search Options

Business Type: Property & Casualty

Product Coding Matrix: https://serff.com/serff_participation_map.htm

Type of Insurance: Type of Insurance 1 Type of Insurance selected

Company Name: insurance services office

Begins With Contains

NAIC Company Code:

Insurance Product Name:

Begins With Contains

SERFF Tracking Number:

Start Submission Date: 1/1/2016

End Submission Date: 5/12/21

Start Disposition Date:

End Disposition Date:

Search

You'll get a table of results

Pages: (1 of 1) 1 Show: 20						
Company Name	NAIC Company Code	Insurance Product Name	Sub Type Of Insurance	Filing Type	Filing Status	SERFF Tracking Number
Insurance Services Office, Inc.	0	CY-2017-OCYFR	17.0028 Cyber Liability	Form	Closed - Closed	ISOF-131176141
Insurance Services Office, Inc.	0	GL-2016-BPOP1	17.0001 Commercial General Liability	Rate	Closed - Processed	ISOF-130485156
Insurance Services Office, Inc.	0	GL-2016-RELP1	17.0001 Commercial General Liability	Rate	Closed - Processed	ISOF-130673253
Insurance Services Office, Inc.	0	GL-2017-BPOP1	17.0001 Commercial General Liability	Rate	Closed - Processed	ISOF-130913819
Insurance Services Office, Inc.	0	GL-2018-BPOP1	17.0001 Commercial General Liability	Rate	Closed - Processed	ISOF-131383411
Insurance Services Office, Inc.	0	GL-2018-OFR18	17.0001 Commercial General Liability	Form	Closed - Deemed	ISOF-131864277
Insurance Services Office, Inc.	0	GL-2018-RLC18	17.0001 Commercial General Liability	Rate	Closed - Processed	ISOF-131864284
Insurance Services Office, Inc.	0	GL-2018-RRU18	17.0001 Commercial General Liability	Rule	Closed - Processed	ISOF-131864281
Insurance Services Office, Inc.	0	GL-2019-BPOP1	17.0001 Commercial General Liability	Rate	Closed - Processed	ISOF-131803034
Insurance Services Office, Inc.	0	GL-2019-IPOP1	17.0001 Commercial General Liability	Rule	Closed - Processed	ISOF-131797064
Insurance Services Office, Inc.	0	GL-2020-OMJFR	17.0001 Commercial General Liability	Form	Closed - Deemed	ISOF-132611636
Insurance Services Office, Inc.	0	GL-2020-RELP1	17.0001 Commercial General Liability	Rate	Closed - Processed	ISOF-132596802
Insurance Services Office, Inc.	0	HH-2017-OFR17	17.0022 Other	Form	Closed - Deemed	ISOF-131203238
Insurance Services Office, Inc.	0	HH-2017-RLC17	17.0022 Other	Rate	Closed - Processed	ISOF-131203445
Insurance Services Office, Inc.	0	HH-2017-RRU17	17.0022 Other	Rule	Closed - Processed	ISOF-131203407
Insurance Services Office, Inc.	0	RP-2018-RGL18	17.0001 Commercial General Liability	Rule	Closed - Processed	ISOF-131525438

Select a line and click on it to view a filing's details

Filing Information		Filing Outcome	
Product Name:	GL-2020-OMJFR	SERFF Status:	Closed
Type Of Insurance:	17.0 Other Liability--Occ/Claims Made	Disposition Date:	02/16/2021
Sub Type Of Insurance:	17.0001 Commercial General Liability	Disposition Status:	Deemed
Filing Type:	Form		
SERFF Tracking Number:	ISOF-132611636		
Submission Date:	12/8/20		
Filing Status:	Closed - Deemed		

Company Information			
Company Name	Company Code	Address	Telephone Number
Insurance Services Office, Inc.		545 Washington Boulevard Jersey City, New Jersey 07310-1686	(201)469-2207

Attachments

Forms	Clear All	Select All	Select Current Version Only
None Available			

Rate/Rule	Clear All	Select All	Select Current Version Only
None Available			

Supporting Documentation	Clear All	Select All	Select Current Version Only
Document Name	Attachments	Date Submitted	
Explanatory Memorandum	<input type="checkbox"/> GL-2020-OMJFR-EM.pdf <input type="checkbox"/> GL-2020-OMJFR-NJ-EM(S).pdf	1/13/21	
<i>Previous Version</i> Explanatory Memorandum	<input type="checkbox"/> GL-2020-OMJFR-EM.pdf	12/8/20	
Side by Side Comparison	<input type="checkbox"/> CG 34 46 12 20.pdf <input type="checkbox"/> CG 34 47 12 20.pdf <input type="checkbox"/> CG 40 14 12 20.pdf <input type="checkbox"/> CG 40 15 12 20.pdf <input type="checkbox"/> CG 40 16 12 20.pdf	12/8/20	

Correspondence	Clear All	Select All
None Available		

[Download Zip File](#)

Click a PDF link to download a form or explanatory memo

Filing Information		Filing Outcome	
Product Name:	GL-2020-OMJFR	SERFF Status:	Closed
Type Of Insurance:	17.0 Other Liability-Occ/Claims Made	Disposition Date:	02/16/2021
Sub Type Of Insurance:	17.0001 Commercial General Liability	Disposition Status:	Deemed
Filing Type:	Form		
SERFF Tracking Number:	ISOF-132611636		
Submission Date:	12/8/20		
Filing Status:	Closed - Deemed		

Company Information			
Company Name	Company Code	Address	Telephone Number
Insurance Services Office, Inc.		545 Washington Boulevard Jersey City, New Jersey 07310-1686	(201)469-2207

Attachments

Forms		Clear All	Select All	Select Current Version Only	▾
None Available					

Rate/Rule		Clear All	Select All	Select Current Version Only	▾
None Available					

Supporting Documentation			Clear All	Select All	Select Current Version Only	▾
Document Name	Attachments	Date Submitted				
Explanatory Memorandum	<input type="checkbox"/> GL-2020-OMJFR-EM.pdf <input type="checkbox"/> GL-2020-OMJFR-NJ-EM(S).pdf	1/13/21				
<i>Previous Version</i> Explanatory Memorandum	<input type="checkbox"/> GL-2020-OMJFR-EM.pdf	12/8/20				
Side by Side Comparison	<input type="checkbox"/> CG 34 46 12 20.pdf <input type="checkbox"/> CG 34 47 12 20.pdf <input type="checkbox"/> CG 40 14 12 20.pdf <input type="checkbox"/> CG 40 15 12 20.pdf <input type="checkbox"/> CG 40 16 12 20.pdf	12/8/20				

Correspondence		Clear All	Select All	▾
None Available				

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Click the Download Zip File button for a copy of the filing

Filing Information		Filing Outcome	
Product Name:	GL-2020-OMJFR	SERFF Status:	Closed
Type Of Insurance:	17.0 Other Liability-Occ/Claims Made	Disposition Date:	02/16/2021
Sub Type Of Insurance:	17.0001 Commercial General Liability	Disposition Status:	Deemed
Filing Type:	Form		
SERFF Tracking Number:	ISOF-132611636		
Submission Date:	12/8/20		
Filing Status:	Closed - Deemed		

Company Information			
Company Name	Company Code	Address	Telephone Number
Insurance Services Office, Inc.		545 Washington Boulevard Jersey City, New Jersey 07310-1686	(201)469-2207

Attachments

Forms		Clear All	Select All	Select Current Version Only	▾
None Available					

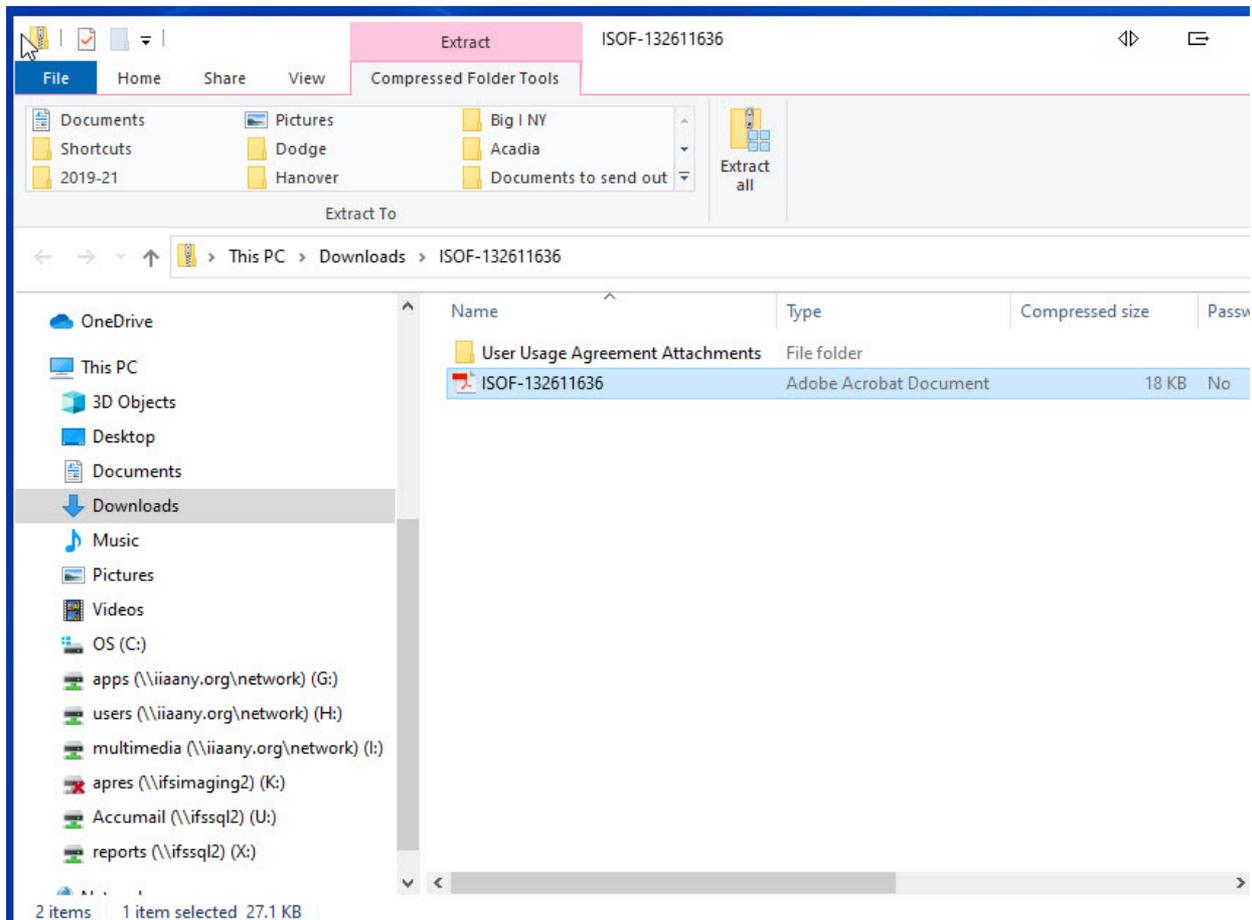
Rate/Rule		Clear All	Select All	Select Current Version Only	▾
None Available					

Supporting Documentation			Clear All	Select All	Select Current Version Only	▾
Document Name	Attachments	Date Submitted				
Explanatory Memorandum	<input type="checkbox"/> GL-2020-OMJFR-EM.pdf <input type="checkbox"/> GL-2020-OMJFR-NJ-EM(S).pdf	1/13/21				
<i>Previous Version</i>						
Explanatory Memorandum	<input type="checkbox"/> GL-2020-OMJFR-EM.pdf	12/8/20				
Side by Side Comparison	<input type="checkbox"/> CG 34 46 12 20.pdf <input type="checkbox"/> CG 34 47 12 20.pdf <input type="checkbox"/> CG 40 14 12 20.pdf <input type="checkbox"/> CG 40 15 12 20.pdf <input type="checkbox"/> CG 40 16 12 20.pdf	12/8/20				

Correspondence		Clear All	Select All	▾
None Available				

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Open the Zip file,
then open the PDF



This file contains detailed information about the filing

Bookmarks

ISOF-132611636

- Table of Contents
- Filing at a Glance
 - General Information
 - Filing Description
 - Company and Contact
 - Filing Fees
- Correspondence Summary
- Disposition
- Objection Letter
- Response Letter
- Note To Filer
- Note To Filer
- Note To Reviewer
- Supporting Document Schedules
- Superseded Schedule Items

SERFF Tracking #: ISOF-132611636 State Tracking #: 20-2557 Company Tracking #: GL-2020-OMJFR

State: New Jersey Filing Company: Insurance Services Office, Inc.
TOI/Sub-TOI: 17.0 Other Liability-Occ/Claims Made/17.0001 Commercial General Liability
Product Name: GL-2020-OMJFR
Project Name/Number: New and Revised Multistate Optional Endorsements Addressing Cannabis Exposures/GL-2020-OMJFR

Table of Contents

User Usage Agreement

Attachments

Usage Agreement

[Usage Agreement.pdf](#)

Including regulator objections ...

Objection Letter

Objection Letter Status	Pending Industry Response
Objection Letter Date	12/23/2020
Submitted Date	12/23/2020
Respond By Date	01/15/2021

Dear Anne Casillo,

Introduction:

For the review of the referenced filing we will require answers to the following questions/concerns.

Objection 1

Comments: Pursuant to N.J.A.C. 11:13-7.3, the issuance of commercial policies that contain defense costs in the policy limits or deductible is not permitted. Please revise the form accordingly or withdraw.

Conclusion:

Thank you.

Sincerely,

Darlene Potts

And responses

☐ Response Letter

Response Letter Status Submitted to State
Response Letter Date 01/13/2021
Submitted Date 01/13/2021

Dear Darlene Potts,

Introduction:

Response 1

Comments:

After further review we are removing CG 34 76 Defense Within Limits - Products/Completed Operations from consideration in New Jersey. Please see the attached supplement.

Related Objection 1

Comments: Pursuant to N.J.A.C. 11:13-7.3, the issuance of commercial policies that contain defense costs in the policy limits or deductible is not permitted. Please revise the form accordingly or withdraw.

Changed Items:

Supporting Document Schedule Item Changes	
Satisfied - Item:	Explanatory Memorandum
Comments:	
Attachment(s):	GL-2020-OMJFR-EM.pdf GL-2020-OMJFR-NJ-EM(S).pdf
<i>Previous Version</i>	
Satisfied - Item:	<i>Explanatory Memorandum</i>
Comments:	
Attachment(s):	<i>GL-2020-OMJFR-EM.pdf</i>

No Form Schedule items changed.

No Rate/Rule Schedule items changed.

Conclusion:

When searching for a carrier's filings, don't use the group name

Filing Search

Search Options

Business Type:

Product Coding Matrix: https://serff.com/serff_participation_map.htm

Type of Insurance: 1 Type of Insurance selected

Company Name: Begins With Contains

NAIC Company Code:

Insurance Product Name: Begins With Contains

SERFF Tracking Number:

Start Submission Date: Start Disposition Date:

End Submission Date: End Disposition Date:

... or you'll get this

Search Results

0 Filing(s) matching your criteria

... where Business Type is 'Property & Casualty' and Type of Insurance is '05.0 CMP Liability and Non-Liability' and Company Name like 'cna**'

Pages: (1 of 1)  Show: 20 						
Company Name 	NAIC Company Code 	Insurance Product Name 	Sub Type Of Insurance 	Filing Type 	Filing Status 	SERFF Tracking Number 
No records found.						
Pages: (1 of 1)  Show: 20 						

Instead, enter the name of a carrier within the group

Filing Search

Search Options

Business Type: Property & Casualty

Product Coding Matrix: https://serff.com/serff_participation_map.htm

Type of Insurance: Type of Insurance 1 Type of Insurance selected

Company Name: continental casualty

Begins With Contains

NAIC Company Code:

Insurance Product Name:

Begins With Contains

SERFF Tracking Number:

Start Submission Date:

End Submission Date:

Start Disposition Date:

End Disposition Date:

Or enter the carrier's NAIC number, if you have it

Filing Search

Search Options

Business Type: Property & Casualty

Product Coding Matrix: https://serff.com/serff_participation_map.htm

Type of Insurance: Type of Insurance *1 Type of Insurance selected*

Company Name: _____

Begins With Contains

NAIC Company Code: 20443

Insurance Product Name: _____

Begins With Contains

SERFF Tracking Number: _____

Start Submission Date: 1/1/16

End Submission Date: 5/12/21

Start Disposition Date: _____

End Disposition Date: _____

Either one works

Search Results

31 Filing(s) matching your criteria

... where Business Type is 'Property & Casualty' and Type of Insurance is '05.0 CMP Liability and Non-Liability' and Company Name like 'continental casualty*' and Submission Date between '01-01-16' and '05-12-21'

Pages: (1 of 2) 1 2 Show: 20						
Company Name	NAIC Company Code	Insurance Product Name	Sub Type Of Insurance	Filing Type	Filing Status	SERFF Tracking Number
Continental Casualty Company	20443	"Epac 3" Program - Form Filing	05.0000 CMP Sub-TOI Combinations	Form	Closed - Closed	CNAC-132482895
Continental Casualty Company	20443	CNA Paramount Package Endorsement Filing Program	05.0003 Commercial Package	Form	Closed - Deemed	CNAC-130658005
Continental Casualty Company	20443	Epac Extra Policy Program - Form Filing	05.0000 CMP Sub-TOI Combinations	Form	Closed - Deemed	CNAC-130601196
Continental Casualty Company	20443	National Dental Program - End Program	05.0003 Commercial Package	Form	Closed - Deemed	CNAC-130695646
Continental Casualty Company	20443	National Dental Program Forms-Rates-Rules Filing	05.0003 Commercial Package	Form/Rate/Rule	Closed - Approved	CNAC-130548171
Continental Casualty Company	20443	"Epac 3" policy Program	05.0000 CMP Sub-TOI Combinations	Form/Rate/Rule	Closed - Deemed	CNAC-132212838
Continental Casualty Company	20443	"Epac 3" Policy Program	05.0000 CMP Sub-TOI Combinations	Form/Rate/Rule	Closed - Deemed	CNAC-131799632
Continental Casualty Company	20443	"Epac 3" Program	05.0000 CMP Sub-TOI Combinations	Form	Pending State Action	CNAC-132823221
Continental Casualty Company	20443	"Epac 3" Program	05.0000 CMP Sub-TOI Combinations	Form	Closed - Deemed	CNAC-132069357
Continental Casualty Company	20443	CNA CONNECT	05.0002	Form	Closed - Deemed	CNAB-132355047

Good luck with your searches

New Search

Refine Search

Health Plan Binder Search

Search Results

62 Filing(s) matching your criteria

... where Business Type is 'Property & Casualty' and Type of Insurance is '17.0 Other Liability-Occ/Claims Made' and Company Name like 'insurance services office*'

Pages: (1 of 4) ◀ ◀◀ 1 2 3 4 ▶▶ ▶ Show: 20 ▾						
Company Name ⇅	NAIC Company Code ⇅	Insurance Product Name ⇅	Sub Type Of Insurance ⇅	Filing Type ⇅	Filing Status ⇅	SERFF Tracking Number ⇅
Insurance Services Office, Inc.	0	CL-2012-OEND1	17.0000 Other Liability Sub-TOI Combinations	Form	Closed - Disapproved	ISOF-128730337
Insurance Services Office, Inc.	0	CL-2012-ORU1	17.0000 Other Liability Sub-TOI Combinations	Rule	Closed - Disapproved	ISOF-128730481

How to Search for Insurance Carrier Filings Using SERFF

